

FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

NOV 24 2003

ATTORNEY DOCKET NO.: LEX-007

APPLICANT(S): Gillies et al.

SERIAL NO.: 09/621,268

FILING DATE: July 21, 2000

GROUP: 1646

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
KAC	A59	5,856,298	1/5/99	Strickland			
	A60	6,617,135	9/9/03	Gillies et al.			
	A61	2002/0081664 A1	6/27/02	Lo et al.			10/11/01
	A62	2002/0147311 A1	10/10/02	Gillies et al.			2/9/01
	A63	2002/0193570 A1	12/19/02	Gillies et al.			12/4/01
	A64	2003/0044423 A1	3/6/03	Gillies et al.			3/7/02
	A65	2003/0049227 A1	3/13/03	Gillies et al.			6/29/01
	A66	2003/0105294 A1	6/5/03	Gillies et al.			2/24/99
	A67	2003/0157054 A1	8/21/03	Gillies et al.			5/3/02
	A68	2003/0166163 A1	9/4/03	Gillies			12/4/02
	A69	2003/0166877 A1	9/4/03	Gillies et al.			3/29/02
	A70	6,485,726 B1	11/26/02	Blumberg et al.			
	A71	6,475,717 B1	11/5/02	Enssle et al.			
	A72	6,335,176 B1	1/1/02	Inglese et al.			
	A73	2002/0192222 A1	12/19/02	Blumberg et al.			12/19/02
✓	A74	2001/0053539 A1	12/20/01	Lauffer et al.			12/20/01

FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRAC T ONLY	ENGLISH LANG (Y/N)
KAC	B94	WO 01/58957 A2	8/16/01	PCT					Y
	B95	WO 02/02143 A2	1/10/02	PCT					Y
	B96	WO 02/072605 A2	9/19/02	PCT					Y
	B97	WO 02/079232 A2	10/10/02	PCT					Y
	B98	WO 02/079415 A2	10/10/02	PCT					Y
	B99	WO 02/090566 A2	11/14/02	PCT					Y
	B100	WO 03/048334 A2	6/12/03	PCT					Y
✓	B101	WO 03/077834 A2	9/25/03	PCT					Y

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B102 FOREIGN PATENT DOCUMENTS

EXAM. INIT.	DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRAC T ONLY	ENGLISH LANG (Y/N)
KAC	B102	WO 02/066514 A2	8/29/02	PCT				Y
	B103	WO 01/36489 A2	5/25/01	PCT				Y
	B104	1 088 888 A1	4/4/01	EP				Y

OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)							
KAC	C169	Boissel et al., (1993), "Erythropoietin Structure-Function Relationships," <u>The Journal of Biological Chemistry</u> , 268:15983-15993.						
	C170	Wen et al., (1993), "Erythropoietin Structure-Function Relationships: High Degree of Sequence Homology Among Mammals," <u>Blood</u> , 82:1507-1516.						
	C171	Angal et al. (1993), "A Single Amino Acid Substitution Abolishes the Heterogeneity of Chimeric Mouse/Human (IgG4) Antibody," <u>Molecular Immunology</u> , 30:105-108.						
	C172	Bitonti et al. (2002), "Transepithelial Absorption of an Erythropoietin-Fc Fusion Protein After Delivery to the Central Airways," <u>Respiratory Drug Delivery</u> , 8:309-312.						
	C173	Darling et al. (2002), "Glycosylation of Erythropoietin Affects Receptor Binding Kinetics: Role of Electrostatic Interactions," <u>Biochemistry</u> , 41:14524-14531.						
	C174	Fibi et al. (1995), "N- and O-Glycosylation Muteins of Recombinant Human Erythropoietin Secreted From BHK-21 Cells," <u>Blood</u> , 85:1229-1236.						
	C175	Hammerling et al. (1996), "In vitro bioassay for human erythropoietin based on proliferative stimulation of an erythroid cell line and analysis of carbohydrate-dependent microheterogeneity," <u>Journal of Pharmaceutical and Biomedical Analysis</u> , 14:1455-1469.						
	C176	Kitamura et al. (1989), "Establishment and Characterization of a Unique Human Cell Line that Proliferates Dependently on GM-CSF, IL-3, or Erythropoietin," <u>Journal of Cellular Physiology</u> , 140:323-334.						
	C177	Locatelli et al. (2001), "Darbepoetin alfa Amgen," <u>Current Opinion in Investigational Drugs</u> , 2:1097-1104.						
	C178	Spickermann et al. (2002), "Receptor-mediated Immunoglobulin G Transport Across Mucosal Barriers in Adult Life: Functional Expression of FcRn in the Mammalian Lung," <u>J. Exp. Med.</u> , 196:303-310.						
	C179	Syed et al. (1998), "Efficiency of signalling through cytokine receptors depends critically on receptor orientation," <u>Nature</u> , 395:511-516.						
EXAMINER	J. G. G. G.				DATE CONSIDERED 3/22/04			

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INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: LEX-007

APPLICANT(S): Gillies et al.

SERIAL NO.: 09/621,268 CONF. NO.: 3473

FILING DATE: July 21, 2000 GROUP 1642

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
KAC	A8	07/348,237	5/5/89	Rosenblum et al.			
	A9	4,196,265	4/1/80	Koprowski et al.			
	A10	4,469,797	9/4/84	Albarella			
	A11	4,676,980	6/30/87	Segal et al.			
	A12	4,816,567	3/28/89	Cabilly et al.			
	A13	4,946,778	8/7/90	Ladner et al.			
	A14	5,019,368	5/28/91	Epstein et al.			
	A15	5,073,627	12/17/91	Curtis et al.			
	A16	5,114,711	5/19/92	Bell et al.			
	A17	5,116,964	5/26/92	Capon et al.			
	A18	5,199,942	4/6/93	Gillis			
	A19	5,225,538	7/6/93	Capon et al.			
	A20	5,225,539	7/6/93	Winter			
	A21	5,258,498	11/2/93	Huston et al.			
	A22	5,314,995	5/24/94	Fell, Jr. et al.			
	A23	5,359,035	10/25/94	Habermann			
	A24	5,514,582	5/7/96	Capon et al.			
	A25	5,541,087	7/30/96	Lo et al.			
	A26	5,543,297	8/6/96	Cromlish, et al.			
	A27	5,552,524	09/03/96	Basinski et al.			
	A28	5,585,089	12/17/96	Queen et al.			
	A29	5,609,846	3/11/97	Goldenberg			
	A30	5,624,821	4/29/97	Winter et al.			
	A31	5,639,725	6/17/97	O'Reilly et al.			
	A32	5,645,835	7/8/97	Fell, Jr. et al.			
✓	A33	5,650,150	7/22/97	Gillies			

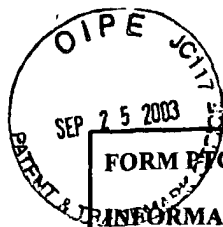
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Kevin D. Gault

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INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: LEX-007

APPLICANT(S): Gillies et al.

SERIAL NO.: 09/621,268

CONF. NO.: 4273

FILING DATE: July 21, 2000

GROUP: 1642

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U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
KAC	A34	5,650,492	7/22/97	Gately et al.			
	A35	5,667,776	9/16/97	Zimmerman et al.			
	A36	5,679,543	10/21/97	Lawlis			
	A37	5,691,309	11/25/97	Basinski et al.			
	A38	5,719,266	02/17/98	DiMarchi et al.			
	A39	5,723,125	3/3/98	Chang et al.			
	A40	5,726,044	3/10/98	Lo et al.			
	A41	5,733,876	3/31/98	O'Reilly et al.			
	A42	5,756,461	05/26/98	Stephens			
	A43	5,770,195	6/23/98	Hudziak et al.			
	A44	5,807,715	9/15/98	Morrison et al.			
	A45	5,837,682	11/17/98	Folkman et al.			
	A46	5,843,423	12/1/98	Lyman et al.			
	A47	5,854,205	12/29/98	O'Reilly et al.			
	A48	5,858,347	1/12/99	Bauer et al.			
	A49	5,885,795	3/23/99	O'Reilly et al.			
	A50	5,886,178	3/23/99	Allen et al.			
	A51	5,888,772	3/30/99	Okasinski et al.			
	A52	5,908,626	6/1/99	Chang et al.			
	A53	5,922,685	7/13/99	Rakhmilevich et al.			
	A54	6,100,387	8/8/00	Herrmann et al.			
	A55	6,169,070 B1	1/2/01	Chen et al.			
	A56	6,171,588 B1	1/9/01	Carron et al.			
	A57	6,348,192 B1	2/19/02	Chan et al.			
	A58	6,444,792 B1	9/3/02	Gray et al.			

EXAMINER

Helen G. Gancella

DATE CONSIDERED

3/29/04

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INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: LEX-007

APPLICANT(S): Gillies et al.

SERIAL NO.: 09/621,268 CONF. NO.: 347

FILING DATE: July 21, 2000

GROUP: 1642

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FOREIGN PATENT DOCUMENTS

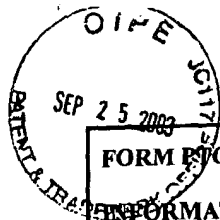
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTR Y CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
KAC	B4	0 158 198 A1	10/16/85	EP					Y
	B5	0 211 769 A2	2/25/87	EP					Y
	B6	0 256 714 A2	2/24/88	EP					Y
	B7	0 294 703 A2	12/14/88	EP					Y
	B8	0 308 936 B1	3/29/89	EP					Y
	B9	0 314 317 B1	5/3/89	EP					Y
	B10	0 318 554 B1	6/7/89	EP					Y
	B11	0 319 012 A2	6/7/89	EP					Y
	B12	0 326 120 B1	8/2/89	EP					Y
	B13	0 350 230 A2	1/10/90	EP					Y
	B14	0 375 562 B1	6/27/90	EP					Y
	B15	0 396 387 A2	11/7/90	EP					Y
	B16	0 439 095 A2	7/31/91	EP					Y
	B17	0 511 747 A1	11/4/92	EP					Y
	B18	0 601 043 B1	6/15/94	EP					Y
	B19	0 640 619 A1	3/1/95	EP					Y
	B20	0 668 353 A1	8/23/95	EP					Y
	B21	0 706 799 A2	4/17/96	EP					Y
	B22	0 790 309 A1	8/20/97	EP					Y
	B23	21725/88	3/23/89	AU					Y
	B24	93100115.3	7/14/93	CN					N
	B25	93100115.3	7/14/93	CN				Y	Y
	B26	37 12985 A1	11/3/88	DE					N
	B27	37 12985	11/2/88	DE				Y	Y
	B28	2 292 382 A	2/21/96	GB					Y
	B29	63-267278	11/4/88	JP					N
	B30	63-267278	11/4/88	JP				Y	Y

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Karm D. Ganielle

DATE CONSIDERED

3/29/04



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ATTORNEY DOCKET NO.: LEX-007

APPLICANT(S): Gillies et al.

SERIAL NO.: 09/621,268 CONF. NO.: 3608

FILING DATE: July 21, 2000 GROUP: 1642

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FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
KDL	B31	63-267296	11/4/88	JP					N
	B32	63-267296	11/4/88	JP				Y	Y
	B33	0 237 019 A2	9/16/87	EP				English counterpart of JP 63-267296	Y
	B34	WO 86/01533	3/13/86	PCT					Y
	B35	WO 88/00052	1/14/88	PCT					Y
	B36	WO 88/09344	12/1/88	PCT					Y
	B37	WO 89/02922	4/6/89	PCT					Y
	B38	WO 89/09620	10/19/89	PCT				Abstract in English	N
	B39	WO 90/03801	4/19/90	PCT					Y
	B40	WO 91/00360	1/10/91	PCT					Y
	B41	WO 91/04329	04/04/91	PCT					Y
	B42	WO 91/08298	6/13/91	PCT					Y
	B43	WO 91/13166	9/5/91	PCT					Y
	B44	WO 91/14438	10/3/91	PCT					Y
	B45	WO 92/02240	2/20/92	PCT					Y
	B46	WO 92/08495	5/29/92	PCT					Y
	B47	WO 92/08801	5/29/92	PCT					Y
	B48	WO 92/16562	10/1/92	PCT					Y
	B49	WO 93/03157	2/18/93	PCT					Y
	B50	WO 93/10229	5/27/93	PCT					Y
	B51	WO 94/24160	10/27/94	PCT					Y
	B52	WO 94/25055	11/10/94	PCT					Y
	B53	WO 95/05468	2/23/95	PCT					Y
	B54	WO 95/21258	8/10/95	PCT					Y
	B55	WO 95/28427	10/26/95	PCT					Y
	B56	WO 96/04388	02/15/96	PCT					Y
	B57	WO 96/05309	2/22/96	PCT					Y

EXAMINER

Karen B. Gamble

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EXAM. INIT.	DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
KAT	B58	WO 96/18412	6/20/96	PCT				Y
	B59	WO 96/31526	10/10/96	PCT				Y
	B60	WO 97/00317	1/3/97	PCT				Y
	B61	WO 97/00319	1/3/97	PCT				Y
	B62	WO 97/15666	5/1/97	PCT				Y
	B63	WO 97/20062	6/5/97	PCT				Y
	B64	WO 97/24440	7/10/97	PCT				Y
	B65	WO 97/26335	7/24/97	PCT				Y
	B66	WO 97/30089	8/21/97	PCT				Y
	B67	WO 97/33617	9/18/97	PCT				Y
	B68	WO 97/33619	9/18/97	PCT				Y
	B69	WO 97/34631	9/25/97	PCT				Y
	B70	WO 97/43316	11/20/97	PCT				Y
	B71	WO 98/00127	1/8/98	PCT				Y
	B72	WO 98/06752	2/19/98	PCT				Y
	B73	WO 98/28427	7/2/98	PCT				Y
	B74	WO 98/46257	10/22/98	PCT				Y
	B75	WO 98/59244	12/30/98	PCT				Y
	B76	WO 99/02709	01/21/99	PCT				Y
	B77	WO 99/03887	01/28/99	PCT				Y
	B78	WO 99/29732	6/17/99	PCT				Y
	B79	WO 99/43713	9/2/99	PCT				Y
	B80	WO 99/52562	10/21/99	PCT				Y
	B81	WO 99/53958	10/28/99	PCT				Y
	B82	WO 99/60128	11/25/99	PCT				Y
✓	B83	WO 99/62944	12/09/99	PCT				Y

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Karen D. Gamella

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EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
KAL	B84	WO 99/66054	12/23/99	PCT					Y
	B85	WO 00/11033	3/2/00	PCT					Y
	B86	WO 00/34317	06/15/00	PCT					Y
	B87	WO 00/40615	7/13/00	PCT					Y
	B88	WO 00/68376	11/16/00	PCT					Y
	B89	WO 00/69913	11/23/00	PCT					Y
	B90	WO 00/78334 A1	12/28/00	PCT					Y
	B91	WO 01/07081 A1	2/1/01	PCT					Y
	B92	WO 01/10912 A1	2/15/01	PCT					Y
✓	B93	WO 03/015697 A2	2/27/03	PCT					Y

EXAM.
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OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)

KAL	C3	Abaza et al., (1992), "Effects of Amino Acid Substitutions Outside an Antigenic Site on Protein Binding to Monoclonal Antibodies of Predetermined Specificity Obtained by Peptide Immunization," <u>Journal of Protein Chemistry</u> , 11:5:433-444.
	C4	Abstract XP-002116766, (1996), "Prostaglandins, their inhibitors and cancer," <u>Prostaglandins, Leukotrienes and Essential Fatty Acids</u> , 54:2:83-94.
	C5	Afonso et al., (1994), "The Adjuvant Effect of Interleukin-12 in a Vaccine Against Leishmania Major," <u>Science</u> , 263:235-237.
	C6	Arenberg et al. (1996), "Interferon- γ -inducible Protein 10 (IP-10) Is an Angiostatic Factor That Inhibits Human Non-small Cell Lung Cancer (NSCLC) Tumorigenesis and Spontaneous Metastases," <u>J. Exp. Med.</u> , 184:981-992.
	C7	Bacha et al., (1988), "Interleukin 2 Receptor-Targeted Cytotoxicity Interleukin 2 Receptor-mediated Action of a Diphtheria Toxin-related Interleukin 2 Fusion Protein," <u>J. Experimental Medicine</u> , 167:612-622
	C8	Bachelot et al., (March 1998), "Retrovirus-Mediated Gene Transfer of an Angiostatin-Endostatin Fusion protein with Enhanced Anti-Tumor Properties In Vivo," <u>Proceedings of the Annual Meeting of the American Association for Cancer Research</u> , 39:271, Abstract #1856.
	C9	Barnett et al., (1994), "Purification, characterization and selective inhibition of human prostaglandin G/H synthase 1 and 2 expressed in the baculovirus system," <u>Biochimica et Biophysica Acta</u> , 1209:130-139.
✓	C10	Baselga, et al., (1998), "Recombinant Humanized Anti-HER2 Antibody (Herceptin™) Enhances the Antitumor activity of Paclitaxel and Doxorubicin against HER3/ <i>neu</i> Overexpressing Human Breast Cancer Xenografts," <u>Cancer Research</u> , 58:2825-2831.

EXAMINER

Karen A. Gamble

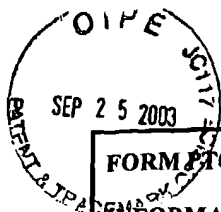
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EXAM.
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OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)

- | | | |
|-----|-----|--|
| KAI | C11 | Batova et al., (1999), "The Ch 14.18-GM-CSF Fusion Protein Is Effective at Mediating Antibody-dependent Cellular Cytotoxicity and Complement-dependent Cytotoxicity in Vitro," <u>Clinical Cancer Research</u> , 5:4259-4263. |
| | C12 | Batra et al., (1993), "Insertion of Constant Region Domains of Human IgG1 into CD4-PE40 Increases Its Plasma Half-Life," <u>Mol. Immunol.</u> , 30:379-386. |
| | C13 | Becker et al., (1996), "An Antibody-Interleukin 2 Fusion Protein Overcomes Tumor Heterogeneity by Induction of a Cellular Immune Response," <u>Proc. Natl. Acad. Sci.</u> , 93:7826-7831. |
| | C14 | Becker et al., (1996), "Eradication of human hepatic and pulmonary melanoma metastases in SCID mice by antibody-interleukin 2 fusion proteins," <u>Proc. Natl. Acad. Sci. USA</u> , 93:2702-2707. |
| | C15 | Beutler et al., (1988), "Tumor Necrosis, Cachexia, Shock, and Inflammation: A Common Mediator," <u>Ann. Rev. Biochem.</u> , 57:505-518. |
| | C16 | Bissery et al., (1997), "The Taxoids," in <u>Cancer Therapeutics: Experimental and Clinical Agents</u> , Teicher, ed., 175-193. |
| | C17 | Bjorn et al., (1985), "Evaluation of Monoclonal Antibodies for the Development of Breast Cancer Immunotoxins," <u>Cancer Research</u> , 45:1214-1221. |
| | C18 | Boehm et al., (1997), "Antiangiogenic therapy of experimental cancer does not induce acquired drug resistance," <u>Nature</u> , 390:404-407. |
| | C19 | Boehm et al., (1998), "Zinc-Binding of Endostatin Is Essential for Its Antiangiogenic Activity," <u>Biochemical and Biophysical Research Communications</u> , 252:190-194. |
| | C20 | Brooks et al., (1994), "Integrin $\alpha_v\beta_3$ Antagonists Promote Tumor Regression by Inducing Apoptosis of Angiogenic Blood Vessels," <u>Cell</u> , 79:1157-1164. |
| | C21 | Buchli et al., (1993), "Structural and Biologic Properties of a Human Aspartic Acid-126 Interleukin-2 Analog," <u>Archives of Biochemistry and Biophysics</u> , 307:2:411-415. |
| | C22 | Burgess et al., (1990), "Possible Dissociation of the Heparin-binding and Mitogenic Activities of Heparin-binding (Acidic Fibroblast) Growth Factor-1 from Its Receptor-binding Activities by Site-directed Mutagenesis of a Single Lysine Residue," <u>Journal of Cell Biology</u> , 111:2129-2138. |
| | C23 | Canfield et al., (1991), "The Binding Affinity of Human IgG for its High Affinity Fc Receptor is Determined by Multiple Amino Acids in the CH2 Domain and Is Modulated by the Hinge Region," <u>Journal of Experimental Medicine</u> , 173:6:1483-1491. |
| | C24 | Cao et al., (1996), "Kringle Domains of Human Angiostatin," <u>The Journal of Biological Chemistry</u> , 271:46:29461-29467. |
| ✓ | C25 | Cao et al., (1997), "Kringle 5 of Plasminogen is a Novel Inhibitor of Endothelial Cell Growth," <u>The Journal of Biological Chemistry</u> , 272:36:22924-22928. |

EXAMINER

Ann D. Gancik

DATE CONSIDERED

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FORM PTO - 1449		ATTORNEY DOCKET NO.: LEX-007	
INFORMATION DISCLOSURE STATEMENT		APPLICANT(S): Gillies et al.	
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EXAMINER

James G. Gander

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EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
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